



Practice Rights Application Form

PRACTICE RIGHTS APPLICATION FORM

This is an application for approval for:

Please tick (✓)

Probate Practice	
Reserved Instrument Activities (Conveyancing)	
Criminal Litigation and Advocacy	
Civil Litigation with Judge Room Advocacy	
Civil Litigation and Advocacy	
Family Litigation with Judge Room Advocacy	
Family Litigation and Advocacy	
Immigration Practice	

NOTE: If you are applying for authorisation as a Compliance Manager, you must complete a different application form.

You should complete this application either using a word processor or in black ink using block capitals.

In completing this form you should refer to the handbook for the scheme in which you seek practice rights.

PART ONE: GENERAL INFORMATION

CILEx Membership number (if appropriate):

Grade of CILEx Membership (if appropriate):

Title: Mr Mrs Miss Ms Other

Family Name:

Forename(s):

Home Address:

Town:

County:

Postcode:

Telephone Numbers:

Home:

Mobile:

Work:

Email Address:

Date of Birth:

/

/

Job Title:

PART TWO: KNOWLEDGE AND UNDERSTANDING

Please set out the qualifications that you rely upon to demonstrate that you have the knowledge of legal practice and the law in the area in which you seek practice rights. You may rely on any one or more of the 3 options below to demonstrate that you have knowledge and understanding equivalent to that set out in the Competence Framework for the relevant practice right, included in the handbook at **Annex 3**.

Option 1: CILEx Level 6 Examinations

State below the CILEx Level 6 law paper and matched practice paper examinations you have passed relevant to the appropriate practice right you seek, as set out in the Competence Framework (*except for immigration law for which there is no matched practice paper, in this case you should also complete Option 3 to demonstrate practice knowledge*).

Examination subject	Date passed

Option 2: Equivalent Examinations

If you are relying on examinations which are equivalent to the CILEx Level 6 examinations set out in the Competence Framework, set them out below. In addition, you must also supply the:

- Syllabus for each examination
- Transcript of marks
- Proof of passing each examination

Awarding Body	Examination Subject	Date Passed

Option 3: Knowledge Demonstrated Through Practice

Tick if applicable

If you are relying on your work experience to demonstrate your knowledge of the law and practice area, you should complete **FIVE** portfolios which demonstrate how your experience developed your knowledge and understanding of the learning outcomes set out in the competence framework.

A knowledge and understanding portfolio template which helps you to complete this element can be found at the end of this application form at **Annex 1**.

PART THREE: EXPERIENCE

Employment or Work Details

Please give details of your employment or work history. This should cover at least the last 5 years. Please start with your most recent experience first.

Employer's name and address:	Job title	Dates of Membership From/To

For each role you undertook in the last 5 years, you should set out the following information:

- A description of the types of cases that you have handled
- A description of your typical caseload, including any details about the complexity of your caseload and a summary of any difficult cases you have handled
- The number of chargeable hours you spent on activities related to the area of practice in which you are seeking practice rights
- The proportion of time spent on the law related to the area of practice in which you are seeking practice rights
- A description of the range and nature of matters which you have handled related to the area of practice in which you seek practice rights
- Any distinctive features of your work

In addition, you must complete **THREE** portfolios which outline how your work experience demonstrates that you are competent in the relevant area of practice.

An experience portfolio template to enable you to complete this element can be found at the end of this application form at **Annex 2**.

PART FIVE: KNOWLEDGE, UNDERSTANDING, EXPERIENCE AND SKILLS (PRACTICE MANAGEMENT AND ACCOUNTS)

Please set out the qualifications that you rely upon to demonstrate that you have the knowledge, understanding, experience and skills in practice management and accounts management to Level 1 in the competence frameworks. Complete this section if you intend to practise in a CILEx Regulation regulated entity.

You may rely on either Option 1 or Option 2 below, or a combination of the two, to demonstrate that you have knowledge, understanding, experience and skills equivalent to those set out in the Competence Framework for practice management and accounts management, included in the handbook at **Annex 4**.

Option 1: Course(s)

State below the course(s) you have completed/passed relevant to practice management and accounts management as set out in the competence framework. *(Where the course(s) on which you rely do not cover each of the outcomes set out in the competence framework you will need to demonstrate your competence in these outcomes using Option 2, or by undertaking a further course to meet these outcomes).*

If you are relying on courses which meet the outcomes set out in the competence framework, in addition to providing this information, you should also supply:

- Syllabus/course outline for each course relied upon
- Transcript of marks (if applicable)
- Proof of completing/passing each course relied upon

Awarding Body/Course Provider	Subject	Date Passed

Option 2: Knowledge Demonstrated Through Practice

Tick if applicable

If you are relying on your work experience to demonstrate your knowledge, experience and skills in practice management and accounts management you must provide a logbook and portfolio of evidence which demonstrates that you have met the learning outcomes. The logbook and portfolio of evidence must demonstrate how your experience demonstrates that you have knowledge, understanding and skills set out in the competence framework.

A portfolio and logbook template, which helps you to complete this element, can be found at the end of this application form at **Annex 2 and 3**.

PART SIX: MEMBERSHIP OF PROFESSIONAL ORGANISATIONS AND CONDUCT STATEMENT

Membership of Professional Bodies, Accreditation Schemes, etc.

Please provide details of any memberships of professional organisations, accreditation schemes, etc. to which you belong (except CILEx)

Professional Body	Membership Level/ Grade	Dates of Membership From/To

Good Standing

For **EACH** professional membership, please confirm the following:

Membership Body		Y/N
	My subscriptions are up to date	
	My CPD is up to date	
	My subscriptions are up to date	
	My CPD is up to date	
	My subscriptions are up to date	
	My CPD is up to date	
	My subscriptions are up to date	
	My CPD is up to date	
	My subscriptions are up to date	
	My CPD is up to date	

PRIOR CONDUCT

You must provide an answer to each of the questions 1 to 9 below and sign and date the declaration below:

1. Have you been convicted or accepted a caution in the UK or elsewhere (other than a motoring offence, not resulting in disqualification)? This includes a fixed penalty notice.

YES NO

2. Have you ever been subject to any investigations or proceedings concerning your fitness to practise by any regulatory or professional body (including findings or orders currently under appeal)?

YES NO

3. Have you ever been adjudged bankrupt or made a composition with creditors?

YES NO

4. Have you ever been removed from being a trustee of a charity, or removed from being concerned with the management or control of a charity?

YES NO

5. Have you been removed from office as a member, director or manager of any public body?

YES NO

6. Have you been disqualified as acting as a director of a company?

YES NO

7. Have you been the subject of a civil judgment?

YES NO

8. Has a determination (i.e. a decision) been made against you by the Legal Ombudsman?

YES NO

9. Have any clients made a complaint about your work and/or conduct to you or your employer in the last 12 months?

YES NO

If you have answered '**YES**' to any of the questions above you must provide details of the circumstances (use a separate sheet if needed); a copy of any memorandum of conviction or a subject access report; and confirmation whether you have paid any fine or complied with any other order imposed on you.

Please read the guidance note below which explains the questions above.

DECLARATION

I declare that the information given in this form is correct. I understand that once registered as a member of CILEx or CILEx Practitioner I shall be bound by the provisions of the Charter bye-laws and all other rules and regulations of CILEx for the time being in force, including the CILEx Code of Conduct.

Signed:

Date:

GUIDANCE NOTES

1. A conviction is where you have been found guilty of a criminal offence. A caution is where the police have disposed of the matter against you rather than send your case to the court. A fixed penalty notice is where you have been given a financial penalty by the police. If you have then failed to pay it you must declare it. This declaration is subject to the provisions of the Rehabilitation of Offenders Act 1974. You do not need to declare protected cautions and protected convictions.
2. An order by a regulatory or professional body may have been made where you belonged to another organisation and they disciplined you.
3. A bankruptcy order is where a court has said you are unable to pay your debts. A composition with creditors is where you agree to repay people to who you owe money.
4. A trustee is someone that has been given responsibility for another person's property.
5. A public body is an organisation whose work is part of the process of Government.
6. A company is any business firm in the private (non-public) sector of an economy, controlled and operated by private individuals (and not by civil servants or government employees).
7. A civil judgment is a court order which states that you owe a debt to someone else and must pay it back. If you have any other civil judgment made against you that involves any matter such as debt, fraud, misrepresentation or conduct related to the administration of justice please let us know.
8. Legal Ombudsman determinations relating to complaints that involve you will usually be directed at entities.
9. This question is to enable CILEx Regulation to collect information about the types and numbers of complaint made against CILEx members.

PART SEVEN: FOR APPLICANTS SEEKING JUDGE'S ROOM/OPEN ADVOCACY RIGHTS ONLY

Please indicate which advocacy right you are applying for:

Civil Litigation with Open Court rights	
Civil Litigation with Judge's Room advocacy rights	
Family Litigation with Open Court rights	
Family Litigation with Judge's Room advocacy rights	
Criminal Litigation with Open Court rights	

Once you have selected the rights of audience that you want to apply for, you should complete the document located at **Annex 4** to demonstrate your advocacy experience.

You are also required to provide a portfolio of cases in which you have been involved relating to the types of proceedings for which you are seeking to qualify as an advocate. The portfolio should comprise **3 cases** in which you demonstrate your advocacy experience. The cases to which you refer in the portfolio must have taken place within the last 2 years.

The portfolios should be presented as set out in the portfolio template at **Annex 5** or **Annex 6**, as appropriate.

The Litigation and Advocacy Handbook sets out the issues that you should cover in the portfolios and provides some examples to assist you with the type of information to be included in your portfolios.

PART EIGHT: REFERENCES AND DECLARATION

References:

Please provide the names and addresses of 2 referees below. (CILEx Regulation will write to your referees).

Referee 1

Name:

Address:

Postcode:

Tel:

Position of referee (e.g. Judge etc.)

Referee 2

Name:

Address:

Postcode:

Tel:

Position of referee (e.g. Judge etc.)

DECLARATION

Applicant Declaration

I hereby apply for practice rights in Probate*/ Conveyancing*/ Immigration* and I confirm that I am eligible for a Practising Certificate in this area of practice.

Or

I hereby confirm that I am eligible to apply for litigation rights in the area of practice set out below and I will apply for the identified Litigation and Advocacy Practising Certificate, once I have successfully completed the required Advocacy Skills Course:

- Civil Litigation and a Certificate of Eligibility to undertake the Advocacy skills course in Judge Room Advocacy*
- Civil Litigation and a Certificate of Eligibility to undertake the Advocacy skills course in Open Court Advocacy*
- Family Litigation and a Certificate of Eligibility to undertake the Advocacy skills course in Judge Room Advocacy*
- Family Litigation and a Certificate of Eligibility to undertake the Advocacy skills course in Open Court Advocacy*
- Criminal Litigation and a Certificate of Eligibility to undertake the Advocacy skills course in Open Court Advocacy*

AND

*I hereby apply for authorisation in Practice Management and Accounts Management at Level 1.

* Please delete as appropriate

I confirm that the information is accurate and complete to the best of my knowledge and I agree to be bound by the Chartered Institute's Rules, bye-laws and all other regulations for the time being in force.

I enclose the application fee

Any information you provide to CILEx or CILEx Regulation will be used by them to consider this application and, generally, to provide you with Membership services; and to enable them to meet their obligations as a professional body and Approved Regulator under the Legal Services Act 2007. We may also share relevant information about you with approved publishers of legal directories and suppliers of membership benefit products, but you may ask us not to do so by contacting CILEx membership on 01234 845777.

In addition to publishing basic information about Fellows and other practitioners with reserved legal activity rights on our own website and providing that information to approved publishers of legal directories, we provide it in a publicly available database where third parties, including operators of comparison websites and other commercial organisations, may access it in reusable form and republish it, alone or in combination with other information. **If you agree to the inclusion of your details (which may include any publishable disciplinary information) in this database, please tick:**

More information about the use we may make of your data is given in our privacy statement at cilexregulation.org.uk. Information about disciplinary matters is only made public in accordance with the CILEx Regulation Publication Policy available at cilexregulation.org.uk.

Signed:

Date:

Return your completed form to:

CILEx Regulation
Kempston Manor
Kempston
Bedford
MK42 7AB
t: +44 (0)1234 845777
e: practicerrights@cilexregulation.org.uk

ANNEX 1: Knowledge and Understanding Portfolio

Knowledge and understanding portfolio (ONLY COMPLETE WHERE YOU DO NOT HAVE RELEVANT EXAMS except applicants seeking Immigration practice rights must complete this form to demonstrate knowledge of Immigration practice)

Practice Right sought:

Instructions:

- You must provide 5 portfolios covering a range of matters you have handled from your caseload which demonstrate your knowledge and experience.
- You need not cover all the knowledge learning outcomes as set out in the Competence Framework at **Annex 3** of the handbook in each portfolio, but you should cover each of the outcomes at least once across the 5 portfolios.
- Date you were instructed in the case.

Provide an outline of the facts of the case.

ANNEX 1: Knowledge and Understanding Portfolio *continued*

Provide an explanation of the law arising in the case and how the law applies to the facts of the case.

Provide an outline of any procedural and process matters that arose in the case and how they were dealt with.

ANNEX 1: Knowledge and Understanding Portfolio *continued*

Provide an outline of the evidential issues that arose in the case and how they were dealt with.

[Empty response box for evidential issues]

Provide an outline of any ethical or conduct issues that arose in the case and how they were dealt with.

[Empty response box for ethical or conduct issues]

ANNEX 1: Knowledge and Understanding Portfolio *continued*

Provide an outline of any funding issues that arose in the case and how they were dealt with.

Provide an outline of the advice provided to the client and the outcome of the matter.

ANNEX 1: Knowledge and Understanding Portfolio *continued*

Provide an outline of the decisions you had to make in the case and whether you had to take any strategic decisions in the case.

Provide a description of any training or development needs you have identified as a result of having dealt with the case.

ANNEX 1: Knowledge and Understanding Portfolio *continued*

Please identify the knowledge and understanding learning outcomes met through this portfolio.

ANNEX 2: Experience Portfolio

Instructions:

- You must provide 3 portfolios which cover a range of matters you have handled from your caseload.
- These portfolios should demonstrate your experience in the relevant area of practice.

(If you have completed portfolios at **Annex 1** you do not need to complete this section).

Date you were instructed in the case:

Provide an outline of the facts of the case.

ANNEX 2: Experience Portfolio *continued*

Provide an explanation of the law arising in the case and how the law applies to the facts of the case.

Provide an outline of any procedural and process matters that arose in the case and how they were dealt with.

ANNEX 2: Experience Portfolio *continued*

Provide an outline of the evidential issues that arose in the case and how they were dealt with.

Provide an outline of any ethical or conduct issues that arose in the case and how they were dealt with.

ANNEX 2: Experience Portfolio *continued*

Provide an outline of any funding issues that arose in the case and how they were dealt with.

Provide an outline of the advice provided to the client and the outcome of the matter.

ANNEX 2: Experience Portfolio *continued*

Provide an outline of the decisions that you had to make in the case and whether you had to take any strategic decisions in the case.

Provide a description of any training or development needs you have identified as a result of having dealt with the case.

ANNEX 3: Logbook Sheet

Name: _____

1. Skills Element:		Learning Outcome:	
2. Evidence provided:		Page in Portfolio	
3. How does this meet the outcome?			
4. Opportunities for further development (if any):			
5. Completion date:			
6. Signed (applicant):		Date:	

ANNEX 4: Advocacy Experience

This section must be completed by all applicants seeking litigation rights.

4.1 *Civil/family applicants*

Provide an outline of the range and nature of advocacy experience you have. You should indicate how many contested and uncontested cases you deal with, which courts they take place in and the nature of the issues involved.

ANNEX 4: Advocacy Experience *continued*

4.2 *Criminal proceedings applicants*

4.2.1 Indicate if you are a police station accredited representative.

4.2.2 State how long you have been a police station accreditation representative.

4.2.3 Provide an outline of the range and nature of advice you have provided as a police station accredited representative and the types of cases you have given advice in. You should indicate how many cases you have provided advice in and over what period that advice has been provided.

ANNEX 4: Advocacy Experience *continued*

4.3 Provide an outline of the range and nature of advocacy you have observed. You should indicate the type of hearings you have observed, how many cases were contested and uncontested, which courts they took place in and the nature of the issues involved.

ANNEX 4: Advocacy Experience *continued*

4.4 Describe the supervisory arrangements exercised over your work.

4.4 Provide details of any supervisory responsibilities you have over the work of others.

ANNEX 5: Portfolio Template for Advocacy (3 portfolios required)

You must produce 3 portfolios of advocacy cases where you seek litigation rights. If you are applying for criminal litigation rights you may complete advocacy portfolios using this form or police station advice portfolios using the portfolio form at **Annex 6**.

Date you were instructed in the case.

Provide a concise description of the case, its progression and outcome.

Summarise the nature of the advocacy that you undertook, including any negotiation or arbitration.

ANNEX 5: Portfolio Template for Advocacy *continued*

State the court in which the advocacy took place and whether it was a contested matter.

Outline the preparation work you undertook before the hearing.

ANNEX 5: Portfolio Template for Advocacy *continued*

Set out all the client's objectives and how you managed them.

Provide a summary of the legal, procedural, evidential and ethical issues that arose during the hearing or advocacy and how you dealt with them.

ANNEX 5: Portfolio Template for Advocacy *continued*

Outline the effectiveness of your advocacy.

Summarise any training or development needs you identified while you dealt with this case.

ANNEX 6: Portfolio Template for Police Station Advice (3 portfolios required)

You may use this portfolio form if you are seeking criminal litigation and advocacy rights.

Date you were instructed in the case:

Provide a concise description of the case, its progression and outcome.

Summarise the manner in which the instructions were received by you.

ANNEX 6: Portfolio Template for Police Station Advice *continued*

Indicate the context in which the advice was provided. Your answer should also indicate whether the advice was provided at the police station, by telephone or through other means.

Provide a summary of any legal issues that arose during the course of the advice, assistance and representation you provided to the client and how you dealt with them.

ANNEX 6: Portfolio Template for Police Station Advice *continued*

Provide a summary of any procedural issues that arose during the course of the advice, assistance and representation you provided to the client and how you dealt with them.

Provide a summary of any conduct or ethical issues that arose during the course of the advice, assistance and representation you provided to the client and how you dealt with them.

ANNEX 6: Portfolio Template for Police Station Advice *continued*

Summarise the action that you took after you had provided advice, assistance or representation to the client.

Indicate how effective your advice, assistance and representation of the client was in the case.

ANNEX 6: Portfolio Template for Police Station Advice *continued*

Summarise any training or development needs you identified arising out of your advice, assistance or representation in this case.

DECLARATION

I confirm that the information contained on this form is accurate to the best of my knowledge and belief.

Signed: _____

Date: _____

CILEx Regulation Limited

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